

Physiological Determinants of Non-Invasive Ventilation Success in Highly Susceptible COPD Individuals

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Abstract

Chronic obstructive pulmonary disease (COPD) is a disease associated with high mortality and morbidity across the globe known to be aggravated by several factors like age, socio-economic status, lifestyle, underlying genetic causes, environmental conditions, diseases etc. Tracheal intubation and mechanical ventilation has so far been the standard therapy for such patients. The ability to predict those likely to fail with NIV is crucial.

Keywords: COPD, India, NIV, Ph, P_{CO2}

Introduction

Chronic obstructive pulmonary disease (COPD) is a disease associated with high mortality and morbidity across the globe. Several factors like age, socio-economic status, lifestyle, underlying genetic causes, environmental conditions, diseases etc. are known to aggravate/increase a persons' chances of developing COPD. Patients with end-stage COPD are offer seen to develop chronic hypercapnic respiratory failure

(CHRF) often leading to death. In this stage of disease, almost all the patients experience extremely disabling symptoms of dyspnoea with limited treatment options. Patients with COPD are also prone to exacerbations with progression of their disease, which often lead to hypercapnic respiratory failure.

Usually, the progression of COPD is gradual, although the disease often presents exacerbations of respiratory symptoms requiring hospitalization. This leads to greater use of medical resources and increases direct and indirect costs. Tracheal intubation and mechanical ventilation has so far been the standard therapy for such patients. The frequency of assisted ventilation in hypercapnic respiratory failure varies from 16-35% with a significant overall mortality.

A major problem is the prolonged duration of ventilation and difficult weaning from ventilation necessitating prolonged stay in intensive care units. Non-invasive ventilation refers to the technique of augmenting alveolar ventilation without a direct

conduit to the airway. Such 3 techniques were used earlier during the polio epidemics of the last century but gradually fell into disuse. Renewal of interest in these techniques has occurred due to the availability of commercial masks and non-invasive ventilators as a spin-off of the marked explosion in the field of sleep medicine. In addition, several well-conducted studies have demonstrated the utility of this ventilator mode for patients with Type 2 respiratory failure in COPD.

Non-invasive ventilation (NIV) in the management of acute type II respiratory failure in patients with chronic obstructive pulmonary disease (COPD) represents one of the major technical advances in respiratory care over the last decade. The National Institute for Health and Clinical Excellence (NICE) recommend the NIV be available in all hospitals admitting patients with COPD. Non-invasive ventilation (NIV) refers to the provision of ventilator support through the patient's upper airway using a mask or similar device. This technique is distinguished from those which bypass the upper airway with a tracheal tube, laryngeal mask, or tracheostomy and are therefore considered invasive. The Recent critical care literature has seen an explosion of articles on non-invasive respiratory support for patients presenting to hospital with respiratory failure of diverse etiology, with numerous published randomized controlled trials (RCTs) and meta-analyses on this topic. Many advantages of using NIV include avoidance of tracheal intubation and its associated morbidity and mortality. On NIV use patients is able to eat, drink, cough and expectorate, take medication by taking break from treatment.

NIV use in now common in India. The ability to predict to predict those likely to fail with NIV is crucial. Intubation would not be delayed in a person with high risk of failure. In the current study we analysed the

impact of NIV in COPD patients under the following criteria:

1. Initial pH
2. Initial pCO₂

Our observations indicate an important role of each of the above mentioned factors in determining the success rate of NIV in individuals.

Materials and Methods

Study Design: The study was done in Kamla Nehru chest hospital, DR S N Medical College Jodhpur, a tertiary care centre for respiratory diseases in western part of Rajasthan, India. The study is a prospective observational study performed to evaluate the role of Non Invasive ventilation in the management of Type 2 Respiratory failures.

Sample Size

Sample size was calculated at 95% confidence level, 0.05 alpha errors, assuming 78% success of Non Invasive Mechanical Ventilation in COPD with acute respiratory failure, at 10% of relative allowable error. 110 COPD cases with acute hypercapnic respiratory failure were included in the present study.

Inclusion Criteria for the Study

- A. Age above 18 years
- B. Those giving informed consent
- C. Hemodynamically stable
- D. Conscious cooperative patient.
- E. Ph45mmHg, PaO₂>45mmHg, PaO₂<92% with oxygen by mask
- F. Primary diagnosis of COPD exacerbation

Exclusion Criteria of the study

- A. Recent facial or upper airway
- B. Surgeries
- C. Facial burns/trauma
- D. Hemodynamic instability
- E. Inability to protect the airway

F. Un co-operative patients

G. Severe co-morbidity

Results

NIV has been established as a useful therapy for the management of respiratory failure in acute exacerbation of COPD. NIV is more important in resource limited countries like India where cost is a major factor.

A total 110 patients were included in the present study with 88 males and 22 females. Mean age of the population was 60.6+8.6 years. Maximum number of patients was in the age group of 60-69 years in both males and females.

1) Importance of Initial pH on NIV

In the present study we took patients with initial pH range of 7.21- 7.35. These patients were divided into three groups of pH, 7.21-25, 7.26- 7.30 and 7.31-7.35. Mean pH of the patients were 7.28+0.036. Success rate of NIV was significantly better in patients with pH between 7.26-7.30 (86.6%) and pH 7.31 -7.35 (85.7%) as compared to those with severe 78 acidosis pH 7.21 – 7.25 (40.7%). This difference was found to be statistically significant (P <0.001).

Acidosis is an indicator of a more severe form of COPD and has been shown to predict mortality in acute exacerbations of COPD. Although using a discriminant analysis a number of variables such as neurological status, baseline pH, PaCO2 and pH during NIV had a predictive value of >0.80 for successful NIV, when tested together using logistic regression analysis only baseline pH maintained a significant predictive effect with a sensitivity of 97% and specificity of 71%. Although NIV is less likely to be effective when patients are more acidotic but the patients should be given a trial of NIV as the advantages are more.

Also subjects with successful outcome of NIV showed gradual increase in mean pH from 7.29 at start to 7.32

after 3 hours and this increase was statistically significant (P P<0.001), whereas patients with NIV failure showed a significant decrease in mean pH form 7.259 to 7.25 after 3 hours (P<0.05).

Table 1: Distribution of study subjects according to initial pH

pH at start	Male		Female		Total	
	N	%	N	%	N	%
7.21-7.25	19	21.59	5	22.73	24	21.82
7.26-7.30	45	51.14	11	50.00	56	50.91
7.31-7.35	24	27.27	6	27.27	30	27.27
Total	88	100.00	22	100.00	110	100.00

[Chi square= 0.014 & 2 df; p value 0.992 (NS)]

Table 2: Outcome of NIV in relation to initial pH

pH at start	Success		Failure		Total	
	N	%	N	%	N	%
7.21-7.25	8	33.33	16	66.67	24	100.00
7.26-7.30	46	82.14	10	17.86	56	100.00
7.31-7.35	26	86.67	4	13.33	30	100.00
Total	80	72.73	30	27.27	110	100.00

[Chi square= 24.22 & 2 df; p value <0.0001(HS)]

Our findings indicate that success rate of NIV was significantly better in patients with pH between 7.31- 7.35 (86.67%) and pH 7.26-7.30 (82.14%) as compared to those with server acidosis pH 7.21 – 7.25 (33.33%). This difference was found to be statistically significant at P<0.001; i.e. Outcome of NIV was found to be successful in patients with better pH values at the start.

2) pCO2 is crucial in determing success of NIV:

In the present study, we took patients with PaCO2>50 mm Hg. Patients were divided in four groups on basis of initial PaCO2. Mean PaCO2 of the study patients at start was 71.90+10.89 mm Hg. 16 patients. (14.55%) had initial PaCO2 The success rate of NIV was highest in patients with pCO2 80mm Hg (36%). This difference was found to be statistically significant (P <0.05); i.e. the outcome of NIV was found to be significantly

associated with initial pCO₂. Outcome of NIV was more likely to be successful in patients with lower initial pCO₂. The subjects with successful outcome of NIV showed gradual decrease in mean pCO₂ from 69.07 mm Hg to 64.19 mm Hg after 3 hours and this decrease was statistically significant. Patients with NIV failure showed significant increase in mean pCO₂ from 80.57 to 84.54 mm Hg after 3 hours (P<0.001).

Table 3: Distribution of study subjects according to initial pCO₂

Initial pCO ₂ (mmHg)	Male		Female		Total	
	N	%	N	%	N	%
≤60	13	14.77	3	13.64	16	14.55
61-70	36	40.91	9	40.91	45	40.91
71-80	23	26.14	4	18.18	27	24.55
>80	16	18.18	6	27.27	22	20.00
Total	88	100.00	22	100.00	110	100.00

[Chi square= 1.197 & 3 df; p value 0.753(NS)]

Table 4: Outcome of NIV in relation to initial pCO₂

pCO ₂ at start	Success		Failure		Total	
	N	%	N	%	N	%
≤60	16	100.00	0	0.00	16	100.00
61-70	36	80.00	9	20.00	45	100.00
71-80	20	74.07	7	25.93	27	100.00
>80	8	36.36	14	63.64	22	100.00
Total	80	72.73	30	27.27	110	100.00

[Chi square= 24.89 & 3 df; p value <0.0001(HS)]

Table 3 reveals that most of the females (40.9%) had initial pCO₂ 60- 70 mm Hg and 27.27% had pCO₂ (>80) mm Hg whereas 40.91% of the male subjects had pCO₂ 60-70 mm Hg and 26.14% males had pCO₂ 71- 80 mm Hg. Male and female subjects were comparable in relation to their initial pCO₂ (P=0.753).

Table 4 shows that success rate of NIV was highest in patients with pCO₂<60 mm Hg (100%) and gradually decreases with increase in pCO₂. This difference was found to be statistically at P<0.05; i.e. Outcome of NIV

was more likely to be successful in patients with lower initial pCO₂.

Discussion

The use of NIV in general respiratory wards is both feasible and clinically effective at reducing the demand for invasive ventilator support and the in-hospital mortality associated with acute ventilator failure in patients with a clinical diagnosis of COPD. The monitoring require is much lesser than in cases of invasive mechanical ventilation. There is an easier learning curve for the use of NIV compared to that of invasive mechanical ventilation, both for the nursing staff and also for the doctors. NIV may also help to reduce the number of days of admission and it reduces complication of invasive mechanical ventilation like ventilator acquired pneumonia. But, NIV use is limited due to various reasons.

Date available at the time NIV is initiated and after a short period can predict the likelihood of success or failure with a reasonable degree of precision. Acidosis at start, higher levels of pCO₂ higher pulse rate, and history of mechanical ventilation may be used to as parameters which could predict NIV failure. Patients with number of these factors may be admitted to the ICU and arrangements made for Invasive mechanical ventilation if need arises, and those with none may be kept in the ward under close observation. We propose that patients who have a pH of less than 7.25 and a pCO₂ more than 72.5 are better managed in the ICU, whereas those with better parameters may be managed in the ward under close supervision. In patients in the latter group, those who tolerate NIV and those who show improvement of pH, pCO₂ after 1 hour may be continued in the ward. Patients with lower pH and higher pCO₂ also may be given trial of NIV, but ideally they should be admitted to the ICU with close

monitoring of vitals and arrangements made for IMV in the need arises.

Conclusion

NIV is a cost effective intervention in acute exacerbation of COPD with hypercapnic respiratory failure. Effective use of NIV results in fewer complications, shorter length of hospital stay, and lower mortality. The need for mechanical ventilation is also reduced. In a low resource setting as we find in our country, admission practices to wards and ICU is usually made arbitrarily. Hence, we propose few parameters which may help in predicting the outcome of NIV in these patients. Patients who have had a history of mechanical ventilation in the past, should be considered high risk and be under close supervision irrespective of their pH and pCO₂. We propose that patients who have a pH of less than 7.25 and a pCO₂ more than 72.5 are better managed in the ICU, whereas those with better parameters may be managed in the ward under close supervision.

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